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3624

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EFS ID: 41187
Application ID: 10064744
Title of Invention: Contingent Convertible Financial Instruments
First Named Inventor: James Birle
Domestic/Foreign Application: Domestic Application
Filing Date: 2002-08-12
Effective Receipt Date: 2003-05-29
Submission Type: Information Disclosure Statement
Filing Type:
Confirmation number: 6609
Attorney Docket Number: MLCO.P-001



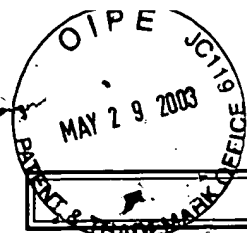
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Total Fees Authorized:


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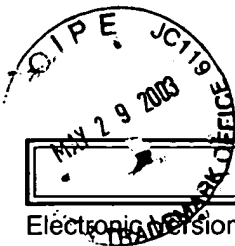


TRANSMITTAL

Electronic Version v1.1

Stylesheet Version v1.1.0


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| Title of Invention | Contingent Convertible Financial Instruments | |
| Application Number: 10/064744 |  | RECEIVED JUN 06 2003 GROUP 3600 |
| Date: 2002-08-12 | | |
| First Named Applicant: Mr. James R. Birle Jr. | | |
| Confirmation Number: 6609 | | |
| Attorney Docket Number: MLCO.P-001 | | |
| <p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p> | | |
| Submitted by: | Elec. Sign. | Sign. Capacity |
| Mr. Carl Oppedahl Registered Number: 32,746 | /s/ | Attorney |
| Documents being submitted us-ids | Files mlcop001-usidst.xml us-ids.dtd us-ids.xsl | |
| Comments | | |



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

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| Title of Invention | Contingent Convertible Financial Instruments | | | | | | |
| Application Number: 10/064744 | |  | | RECEIVED JUN 06 2003 GROUP 3500 | | | |
| Confirmation Number: 6609 | | | | | | | |
| First Named Applicant: James Birle | | | | | | | |
| Attorney Docket Number: MLCO.P-001 | | | | | | | |
| Art Unit: 3624 | | | | | | | |
| Examiner: Mr. STEFANOS KARMIS | | | | | | | |
| Search string: (4648038 or 5704045).pn. | | | | | | | |
| US Patent Documents | | | | | | | |
| Note: Applicant is not required to submit a paper copy of cited US Patent Documents | | | | | | | |
| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |
| | 1 | 4648038 | 1987-03-03 | Roberts, et al. | | | |
| | 2 | 5704045 | 1997-12-30 | King, et al. | | | |
| Signature | | | | | | | |
| Examiner Name | | | | Date | | | |
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